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PTO/SB/21 (03-03)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

5

Application Number

09/894,480

Filing Date

June 27, 2001

First Named Inventor

Zhimin He

Art Unit

2653

Examiner Name

A. Castro

Attorney Docket Number

STL9799

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Response to Missing Parts/
Incomplete Application

☐

Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a

☐

Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) _____

Remarks

☐

After Allowance Communication
to Group

☐

Appeal Communication to Board
of Appeals and Interferences

☐

Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please
Identify below):

Form PTO/SB/08A; Cited References
(5); and Return Postcard

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual

Derek J. Berger, Reg. No. 45,401

Seagate Technology LLC

Signature

[Signature]

Date

April 11, 2003

CERTIFICATE OF TRANSMISSION/MAILING

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425-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Zhimin He et al.		
Serial No.:	09/894,480	Examiner:	A. Castro
Filed:	June 27, 2001	Group Art Unit:	2653
Title:	Flexural Pivot For Rotary Disc Drive Actuator		
Docket:	STL9799		

Assistant Commissioner for Patents
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

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Section 1. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)

Form PTO/SB/08A is attached hereto.

Section 2. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Form PTO/SB/08A accompany this Information Disclosure Statement.

Section 3. Remarks or Additional Statements

- ☐ This Information Disclosure Statement is being filed along with a new patent application.
- ☐ This Information Disclosure Statement is being filed *within* three months of the filing date of the application or date of entry into the national stage of an international application or *before* the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).
- ☒ 37 C.F.R. §1.97(c) This Information Disclosure Statement is being filed *after* three months of the filing date of this national application or the date of entry of the national Stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:

1. a final action under section 1.113 or
2. a notice of allowance under section 1.311
whichever occurs first.

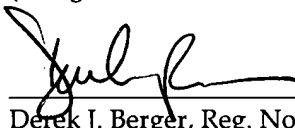
- ☐ A fee under 37 C.F.R. §1.17(p) is required because no certification under 37 C.F.R. §1.97(e) has been made.
- ☒ No fee is required because certification under 37 C.F.R. §1.97(e) is noted below.

- ☐ 37 C.F.R. §1.97(d) This Information Disclosure Statement is being filed *after* a final action under §1.113, or a notice of allowance under §1.113, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.
- ☐ A fee under 37 C.F.R. §1.17(p) is required because no certification under 37 C.F.R. §1.97(e) has been made.
- ☐ No fee is required because certification under 37 C.F.R. §1.97(e) is noted below.
- ☒ 37 C.F.R. §1.97(e) I hereby state that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this statement.

Consideration of the listed items is respectfully requested.

Respectfully submitted,

SEAGATE TECHNOLOGY LLC
(Assignee of Entire Interest)



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